Please DATE and RETURN acknowledging receipt of in re Application of: Filed: 05/08/01 Cui, et. al. PROCESS FOR THE SELECTIVE MODIFICATION Serial No. 09/851,069 OF CARBOHYDRATES BY PEROXIDASE CATALYZED

OXIDATION Enclosed are: Transmittal Form Information Disclosure Statement Form PTO-1449 and 25 References

National Starch and Chemical Company - Patent Department 06/15/01

Please DATE and RETURN acknowledging receipt of-1919 Docket No.

in re Application of:

PLA THADEN Serial No. 09/851,069
PROCESS FOR THE SELECTIVE MODIFICATION
OF CARBOHYDRATES BY PEROXIDASE CATALYZED
OVERATION

Enclosed are: Transmittal Form Information Disclosure Statement Form PTO-1449 and 25 References

National Starch and Chemical Company - Patent Department 06/15/01

Please type a plus sign (+) inside this box -> + PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0551-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/851,069 TRANSMITTAL Filing Date 05/08/01 **FORM** First Named Inventor <u>Cui.et.al</u> (to be used for all correspondence after initial filing) Group Art Unit To Be Assigned Examiner Name <u>To Be Assigned</u> Total Number of Pages in This Submission Attorney Docket Number 1919 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request identify below): Postcard Receipt Terminal Disclaimer Express Abandonment Request PTO-1449 and 25 Request for Refund references Information Disclosure Statement CD, Number of CD(s). Certifled Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Laurelee A. Duncan, Reg. No. 44,096 Signature Date June /5, 2001 CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient oostage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 06//5701 Typed or printed name Deporah J. Mouzon

. allerin

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

Mailing Certification

I certify that I am depositing this paper pursuant to 37 CFR §1.8 with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for

Patents, Washington DC 20231 on June 15, 2001

Patent #1919

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Cui, et. al.

Serial No. 09/851,069

Group Art Unit:

Filed:

05/08/01

Examiner:

For:

PROCESS FOR THE SELECTIVE

MODIFICATION OF CARBOHYDRATES BY PEROXIDASE CATALYZED OXIDATION

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.97

Commissioner for Patents Washington, D. C. 20231

SIR:

In compliance with Applicants' duty of disclosure under 37 C.F.R. 1.56, and in accordance with 37 C.F.R. 1.98, Applicants' direct the Examiner's attention to the publications listed on the PTO #1449 form, which is being submitted herewith. Copies of all publications listed on the #1449 form are being submitted herewith.

The disclosure of the above references does not constitute an admission that they are relevant or material to the claims or are *prior art" to the subject application. The citation of them is not to be construed as a representation that no better art exists or that a search has been made; they are cited merely as constituting collectively the closest art of which applicants are aware.

It is respectfully submitted that the information should be considered by the Patent Office as it is being filed pursuant to 37 CFR § 1.97(b)(3) before the mailing date of a first office action on the merits.

In the event that a first Office Action has already issued and is crossing in the mail with this information, please consider the information and charge the \$240.00 fee under 37 C.F.R. § 1.17p to Deposit Account No. 14-0455. Please charge any additional fees or credit overpayment to Deposit Account No. 14-0455. Triplicate copies of this letter are enclosed.

Respectfully submitted,

Laurelee A. Duncan, Reg. No.

Attorney for Applicant(s) Tel. 908-685-5433

National Starch and Chemical Company P. O. Box 6500 Bridgewater, New Jersey 08807-0500 June 15, 2001

SHEET 1 OF Serial No. U.S. Department of Commerce Atty. Docket No. 1919 Form:PTO-1449 09/851,069 Patent and Trademark Office (REV. 7/80) LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) Applicant: Cui, et. al. Filing Date: May 08, 2001 Group U.S. PATENT DOCUMENTS Class Subclass Filing Date if *Examiner Initial **Document Number** Date Name Appro-Priate 07/00 Klerulff, Jesper V. 435 101 6,087,135 AΑ 147 01/97 Gabelman, et. al. 435 AB 5,593,872 AC 5,888,787 03/99 Chen, et. al. 435 147 Convents, et. al. 435 263 ΑD 6,080,573 06/00 08/98 Schneider, et. al. 510 376 ΑÉ 5,795,855 08/00 Call, Hans-Peter 162 65 AF 6,103,059 568 320 6,023,000 02/00 Fritz-Langhals, AG et. al. 94.4 5,389,369 02/95 Allen, Robert C. 424 AH Αl 5,866,392 02/99 Schou, et. al. 435 190 Fritz-Langhals, 568 320 A.I 6.069.282 05/00 et. al. ΑK FOREIGN PATENT DOCUMENTS Transia-Country Document Number Date Class Subclass tion No Yes 05/14/99 PCT AL WO 99/23117 05/14/99 PCT WO 99/23240 AM 07/08/99 PCT WO 99/34009 AN AO WO 99/54545 10/28/99 PCT WO 92/09741 06/11/92 PCT AP OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) Yaropolov, et. al., "Laccase Properties, Catalytic Mechanism, and Applicability (EC 1.10.3.2)", Applied AR Biochemistry and Biotechnology, Vol. 49, pp. 257-280, (1994) Thomas, et. al., "Oxidation of Bromide by the Human Leukocyte Enzymes Myeloperoxidase and Eosinophil AS Peroxidase", The Journal of Biological Chemistry, Vol. 270, No. 7, pp. 2906-2913, (1995). Platt, et. al., "Singlet Oxygen Formation by a Peroxidase, H₂O₂ and Hailde System", Err. J. Biochem., Vol., 93, ΑT pp. 323-332 (1979). **DATE CONSIDERED EXAMINER** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in *EXAMINER: conformance and not considered. Include copy of this form with next communication to applicant.

/SHEEL Z OF A	SHEET	2	ΩE	3
	 SHEET	_	OI _	

Form PTO-1449 U.S. Department of Commerce (REV: 7/80) Patent and Trademark Office			Atty. Docket No. 1919					Serial No. 09/851,069			
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)											
(Use several sileets if flecessary)				Applicant: Cui, et. al.							
				Filing Date	e: May 08, 2001			Group			
U.S. PATENT DOCUMENTS											
*Examiner Initial			Document Number	Date	Name	Class	Subclass	Арр	Filing Date if Appro- Priate		
	AA										
	AB										
	AC										
	AD										
	AE										
	AF										
	AĞ							-			
	АН										
	Al										
	LA										
	AK					1		<u> </u>			
FOREIGN PATENT DOCUMENTS											
			Document Number	Date	Country	Class	Subclass	Transia- tion			
						ļ		Yes	No		
	AL	wo	97/28257	08/07/97	PCT				ļ		
	АМ	wo	00/50463	08/31/00	PCT			ļ			
	AN	wo	00/50621	08/31/00	PCT	<u> </u>		ļ			
	AO							ļ			
	AP			<u> </u>		<u> </u>		<u> </u>	<u> </u>		
			OTHER REFERENCES (Including	Author, Title	e, Date, Pertinent Pa	ges, Etc.)					
	AR		Biotechnology Notables, Biotech	nology Prog	ress in 1999, Vol. 15	No. 2.		<u> </u>			
	AS	Vanysek, Peter, Electrochemical Series, Handbook of Chemistry, pp. 8-20 - 8-33.									
	AT		Pittier, et. al. "Peroxidases", Meti	eroxidases", Methods in Enzymatic Analysis in 1983, Vol. 3, pp 286-293.							
EXAMINER				DATE CO	NSIDERED						
*EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										

#42844

SHEET 3	OF	•

Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office	Atty. Dock	et No. 1919			Serial N 09/851,0		
LIST OF REFERENCES CITED BY APPLICANT									
(Use several sheets if necessary)			Applicant: Cui, et. al.						
			Filing Date	: May 08, 2001			Group	• •	
		U.S. PATE	NT DOCUM	ENTS					
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing I App Pris	ro-	
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AĞ						-		
	АН	,							
	Al								
	LA								
	AK								
		FOREIGN PA	TENT DOCU	MENTS	.,		· · · · · · · · · · · · · · · · · · ·		
		Document Number	Date Coun	Country	Class	Subclass	Transla- tion		
							Yes	No	
	AL								
	AM								
	AN								
	AO								
	AP						<u></u>	<u> </u>	
		OTHER REFERENCES (Including	Author, Title	, Date, Pertinent Pa	ges, Etc.)				
	AR	Xu, et. al., "Redox Chemistry ir Environmental Microbiology, Vol.			of N-Hydro	oxy Compound	s", <u>Appli</u> e	ed and	
	AS							_	
	AT								
EXAMINER			DATE CON	SIDERED					
*EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

#42844